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Susan M. Barry Printed name of person mailing correspondence Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lee M. Nadler et al.

Art Unit:

Not Yet Assigned

Serial No.:

09/830,400

Examiner:

Not Yet Assigned

Filed:

April 25, 2001

Customer No.:

21559

Title:

Cancer Immunotherapy and Diagnosis Using Universal Tumor

Associated Antigens, Such as the Telomerase Catalytic Subunit (hTERT),

and Methods for Identifying Universal Tumor Associated Antigens

Assistant Commissioner For Patents Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 03-2095.

Respectfully submitted,

Date: July 30, 2001 Susan M. Michaud, Ph.D.

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SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE 50059/007002 Attorney Docket No. (MODIFIED) PATENT AND TRADEMARK OFFICE Serial No. 09/830,400 Applicant Lee M. Nadler et al. INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date April 25, 2001 (Use several sheets if necessary) Not Yet Assigned Group (37 C.F.R. §1.98(b)) **IDS Filed** July 30, 2001 Customer No. 21559 U.S. PATENTS Examiner's Patent Number Issue Date Class Subclass Filing Date Patentee Initials (If Appropriate) FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION Examiner's Document Publication Country or Class Subclass Translation Patent Office Initials Number Date (Yes/No) **WIPO** WO 98/14593 09.04.98 No WO 98/37181 27.08.98 **WIPO** No OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) Schultze et al., "Autologous Adoptive T Cell Transfer For A Patient With Plasma Cell Leukemia: Results Of A Pilot Phase I Trial," Therapy of Chronic Lymphocytic Leukemia And Multiple Myeloma p. 109a Poster Board#/Session 446-I. Vonderheide et al., "The Telomerase Catalytic Subunit Is a Widely Expressed Tumor-associated Antigen Recognized By Cytotoxic T Lymphocytes," Immunity 10:673-679 (1999). **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this

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form with the next communication to applicant.